

## CFTC FORM 1-FR-FCM

0005

|                                                                                                          |      |                                                        |      |                       |      |
|----------------------------------------------------------------------------------------------------------|------|--------------------------------------------------------|------|-----------------------|------|
| Name of Company:<br>SLEEPER MARKETS LLC                                                                  | 0010 | Employer ID No:<br>33-4773707                          | 0020 | NFA ID No:<br>0570580 | 0030 |
| Address of Principal Place of Business:<br>3960 Howard Hughes Parkway<br>Suite 500<br>Las Vegas NV 89169 | 0050 | Person to Contact Concerning This Report:<br>Tim Janke |      |                       |      |
|                                                                                                          |      | Telephone No: 773 793 6177                             |      | 0060                  |      |
|                                                                                                          |      | E-Mail Address: tim@sleeper.app                        |      | 0065                  |      |

1. Report for the period beginning 05/01/2026 0070 and ending 05/31/2026 0080
2. Type of report 0090 ☐ Certified ☐ Regular quarterly/semiannual ☐ Monthly 1.12(b)  
☐ Special call by: ☐ Other -- Identify:
3. Check whether 0095 ☒ Initial filing ☐ Amended filing
4. Name of FCM's Designated Self-Regulatory Organization: NFA 0100
5. Name(s) of consolidated subsidiaries and affiliated companies:

| Name | Percentage Ownership | Line of Business |
|------|----------------------|------------------|
|      | 0.00                 |                  |
|      | 0.00                 |                  |
|      | 0.00                 |                  |
|      | 0.00                 |                  |
|      | 0.00                 |                  |
|      | 0.00                 |                  |

The futures commission merchant, or applicant for registration therefor, submitting this Form and its attachments and the person whose signature appears below represent that, to the best of their knowledge, all information contained therein is true, correct and complete. It is understood that all required items, statements and schedules are integral parts of this Form and that the submission of any amendment represents that all unamended items, statements and schedules remain true, correct and complete as previously submitted. It is further understood that any intentional misstatements or omissions of facts constitute Federal Criminal Violations (see 18 U.S.C. 1001).

Signed on 06/23/2026

Manual signature Electronically submitted through WinJammer

Type or print name Timothy Janke,

☐ Chief Executive Officer☐ Chief Financial Officer

Corporate Title

☐ General Partner☐ Sole Proprietor

AUTHORITY: Sections 4c, 4d, 4f, 4g, 5a, 8a, and 17 of the Commodity Exchange Act (7 U.S.C. 6c, 6d, 6f 6g, 7a, 12a and 21)

|                                         |                               |                       |
|-----------------------------------------|-------------------------------|-----------------------|
| Name of Company:<br>SLEEPER MARKETS LLC | Employer ID No:<br>33-4773707 | NFA ID No:<br>0570580 |
|-----------------------------------------|-------------------------------|-----------------------|

CFTC FORM 1-FR-FCM  
STATEMENT OF THE COMPUTATION OF THE MINIMUM CAPITAL REQUIREMENTS  
AS OF 5/31/2026

Net Capital

|    |                                                                                  |            |      |               |      |
|----|----------------------------------------------------------------------------------|------------|------|---------------|------|
| 1. | Current assets (page 3, line 20)                                                 |            |      | \$ 11,429,859 | 3000 |
| 2. | Increase/(decrease) to U.S. clearing organization stock to reflect margin value  |            |      | 0             | 3010 |
| 3. | Net current assets                                                               |            |      | \$ 11,429,859 | 3020 |
| 4. | Total liabilities (page 5, line 32)                                              | \$ 989,409 | 3030 |               |      |
| 5. | Deductions from total liabilities                                                |            |      |               |      |
| A. | Liabilities subject to satisfactory subordination agreements (page 5, line 31.A) | \$ 0       | 3040 |               |      |
| B. | Certain deferred income tax liability (see regulation 1.17(c)(4)(iv))            | 0          | 3050 |               |      |
| C. | Certain current income tax liability (see regulation 1.17(c)(4)(v))              | 0          | 3060 |               |      |
| D. | Long term debt pursuant to regulation 1.17(c)(4)(vi)                             | 0          | 3070 |               |      |
| E. | Total deductions (add lines 5.A. - 5.D.)                                         |            | 0    | 3080          |      |
| F. | Adjusted liabilities (subtract line 5.E from line 4)                             |            |      | 989,409       | 3090 |
| 6. | Net capital (subtract line 5.F. from line 3)                                     |            |      | \$ 10,440,450 | 3100 |

Charges Against Net Capital (see regulation 1.17(c)(5))

|     |                                                                                                                                                                                                                                              |  |  |      |      |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|------|------|
| 7.  | Excess of advances paid on cash commodity contracts over 95% of the market value of commodities covered by such contracts                                                                                                                    |  |  | \$ 0 | 3110 |
| 8.  | Five percent (5%) of the market value of inventories covered by open futures contracts or commodity options (no charges applicable to inventories registered as deliverable on a contract market and which are covered by futures contracts) |  |  | 0    | 3120 |
| 9.  | Twenty percent (20%) of the market value of uncovered inventories or lesser percentage charge for uncovered balances in specified foreign currencies                                                                                         |  |  | 0    | 3130 |
| 10. | Ten percent (10%) of the market value of commodities underlying fixed price commitments and forward contracts which are covered by open futures contracts or commodity options                                                               |  |  | 0    | 3140 |
| 11. | Twenty percent (20%) of the market value of commodities underlying fixed price commitments and forward contracts which are not covered by open futures contracts or commodity options                                                        |  |  | 0    | 3150 |

12. Charges as specified in section 240.15c3-1(c)(2)(vi) and (vii) against securities owned by firm, including securities representing investments of domestic and foreign customers' funds:

|                                                                                                                                                                                                                                                                                                     | <u>Market Value</u> |      | <u>Charge</u> |      |      |      |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|------|---------------|------|------|------|
| A. U.S. and Canadian government obligations                                                                                                                                                                                                                                                         | \$ 0                | 3160 | \$ 0          | 3170 |      |      |
| B. State and Municipal government obligations                                                                                                                                                                                                                                                       | 0                   | 3180 | 0             | 3190 |      |      |
| C. Certificates of deposit, commercial paper and bankers' acceptances                                                                                                                                                                                                                               | 0                   | 3200 | 0             | 3210 |      |      |
| D. Corporate obligations                                                                                                                                                                                                                                                                            | 0                   | 3220 | 0             | 3230 |      |      |
| E. Stocks and warrants                                                                                                                                                                                                                                                                              | 0                   | 3240 | 0             | 3250 |      |      |
| F. Other securities                                                                                                                                                                                                                                                                                 | 0                   | 3260 | 0             | 3270 |      |      |
| G. Total charges (add lines 12.A. - 12.F.)                                                                                                                                                                                                                                                          |                     |      |               |      | 0    | 3280 |
| 13. Charges as specified in section 240.15c3-1(c)(2)(iv)(F)                                                                                                                                                                                                                                         |                     |      |               |      |      |      |
| A. Against securities purchased under agreements to resell                                                                                                                                                                                                                                          |                     |      |               |      | 0    | 3290 |
| B. Against securities sold under agreements to repurchase                                                                                                                                                                                                                                           |                     |      |               |      | 0    | 3300 |
| 14. Charges on securities options as specified in section 240.15c3-1, Appendix A                                                                                                                                                                                                                    |                     |      |               |      | 0    | 3310 |
| 15. Undermargined commodity futures and commodity options accounts - amount in each account required to meet maintenance margin requirements, less the amount of current margin calls in that account and the amount of any noncurrent deficit in the account                                       |                     |      |               |      |      |      |
| A. Customer accounts                                                                                                                                                                                                                                                                                |                     |      |               |      | 0    | 3320 |
| B. Noncustomer accounts                                                                                                                                                                                                                                                                             |                     |      |               |      | 0    | 3330 |
| C. Omnibus accounts                                                                                                                                                                                                                                                                                 |                     |      |               |      | 0    | 3340 |
| 16. Charges against open commodity and cleared OTC derivatives positions in proprietary accounts                                                                                                                                                                                                    |                     |      |               |      |      |      |
| A. Uncovered exchange-traded futures, cleared OTC derivatives positions and granted options contracts                                                                                                                                                                                               |                     |      |               |      |      |      |
| i percentage of margin requirements applicable to such contracts                                                                                                                                                                                                                                    |                     |      | \$ 0          | 3350 |      |      |
| ii Less: equity in proprietary accounts included in liabilities                                                                                                                                                                                                                                     |                     |      | 0             | 3360 | 0    | 3370 |
| B. Ten percent (10%) of the market value of commodities which underlie commodity options not traded on a contract market carried long by the applicant or registrant which has value and such value increased adjusted net capital (this charge is limited to the value attributed to such options) |                     |      |               |      | 0    | 3380 |
| C. Commodity options which are traded on contract markets and carried long in proprietary accounts. Charge is the same as would be applied if applicant or registrant was the grantor of the options (this charge is limited to the value attributed to such options)                               |                     |      |               |      | 0    | 3390 |
| D. Haircuts on swaps and security-based swaps pursuant to 1.17(c)(5)(iii), (iv), (xv), and (xvi) (itemize to the subparagraph level on separate page)                                                                                                                                               |                     |      |               |      | \$0  | 3395 |
| 17. Five percent (5%) of all unsecured receivables from foreign brokers                                                                                                                                                                                                                             |                     |      |               |      | 0    | 3410 |
| 18. Deficiency in collateral for secured demand notes                                                                                                                                                                                                                                               |                     |      |               |      | 0    | 3420 |
| 19. Adjustment to eliminate benefits of consolidation (explain on separate page)                                                                                                                                                                                                                    |                     |      |               |      | 0    | 3430 |
| 20. Total charges (add lines 7 through 19)                                                                                                                                                                                                                                                          |                     |      |               |      | \$ 0 | 3440 |

Net Capital Computation

|                                                         |      |      |               |      |
|---------------------------------------------------------|------|------|---------------|------|
| 21. Adjusted net capital (subtract line 20 from line 6) |      |      | \$ 10,440,450 | 3500 |
| 22. Net capital required                                |      |      |               |      |
| A. Risk Based Requirement                               |      |      |               |      |
| i Amount of Customer Risk Maintenance Margin            | \$ 0 | 3515 |               |      |
| ii Enter 8% of line 22.A.i                              |      |      | \$ 0          | 3525 |
| iii Amount of Non-Customer Risk Maintenance Margin      | \$ 0 | 3535 |               |      |
| iv Enter 8% of line 22.A.iii                            |      |      | \$ 0          | 3545 |
| v Enter the sum of 22.A.ii and 22.A.iv                  |      |      | \$ 0          | 3555 |
| vi Total Uncleared Swap Margin, as applicable           |      |      | \$0           | 3556 |
| vii Enter 2% of line 22.A.vi                            |      |      | \$0           | 3557 |
| viii Enter the sum 22.A.v and 22.A.vii                  |      |      | \$0           | 3558 |
| B. Minimum Dollar Amount Requirement                    |      |      | \$ 1,000,000  | 3565 |
| C. Other NFA Requirement                                |      |      | \$ 0          | 3575 |
| D. Enter the greater of lines 22.A.viii, 22.B. or 22.C. |      |      | \$ 1,000,000  | 3600 |
| 23. Excess net capital (line 21 less line 22.D.)        |      |      | \$ 9,440,450  | 3610 |

Computation of Early Warning Level

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |              |      |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--------------|------|
| 24. If the Minimum Net Capital Requirement computed on line D (Box 3600) is:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  | \$ 1,500,000 | 3620 |
| <ul style="list-style-type: none"><li>• The Risk Based Requirement, enter 110% of line 22.A.viii. (3558), or</li><li>• The Minimum Dollar Requirement of \$1,000,000, for FCMs, or \$20,000,000 for FCMs registered as SDs, enter 150% of line 22.B. (3565), or</li><li>• The Minimum Dollar Requirement of \$20,000,000 for FCMs offering or engaging in retail forex transactions or Retail Foreign Exchange Dealers ("RFED"), enter 110% of line 22.B (3565), or</li><li>• Other NFA Requirement for FCMs offering or engaging in retail forex transaction or Retail Foreign Exchange Dealers ("RFED"), as calculated on line 11.F (8210) of Exchange Supplementary Schedule, enter 110% of line 22.C. (3575), or</li><li>• Any other NFA Requirement, enter 150% of line 22.C. (3575)</li></ul> |  |              |      |

This is your early warning capital level. If this amount is greater than the amount on line 21 (3500), you must immediately notify your DSRO and the Commission pursuant to section 1.12 or 5.6, as applicable, of the regulations.

Guaranteed Introducing Brokers

|                                                                                                                                        |      |
|----------------------------------------------------------------------------------------------------------------------------------------|------|
| 25. List all IBs with which guarantee agreements have been entered into by the FCM and which are currently in effect .<br>See Attached | 3650 |
|----------------------------------------------------------------------------------------------------------------------------------------|------|

**SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II**

**BROKER OR DEALER:**

**as of:** 05/31/2026

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

|    |                                                                                  |      |            |      |
|----|----------------------------------------------------------------------------------|------|------------|------|
| 1. | Net ledger balance                                                               |      |            |      |
|    | A. Cash                                                                          |      | \$ 696,419 | 8500 |
|    | B. Securities (at market)                                                        |      | 0          | 8510 |
| 2. | Net unrealized profit (loss) in open cleared swaps                               |      | 0          | 8520 |
| 3. | Cleared swaps options                                                            |      |            |      |
|    | A. Market value of open cleared swaps option contracts purchased                 |      | 292,990    | 8530 |
|    | B. Market value of open cleared swaps granted (sold)                             |      | 0          | 8540 |
| 4. | Net equity (deficit) (add lines 1, 2 and 3)                                      |      | 989,409    | 8550 |
| 5. | Accounts liquidating to a deficit and accounts with debit balances               |      |            |      |
|    | - gross amount                                                                   | \$ 0 | 8560       |      |
|    | Less: amount offset by customer securities                                       | 0    | 8570       |      |
|    |                                                                                  |      | 0          | 8580 |
| 6. | Amount required to be segregated for cleared swaps customers (add lines 4 and 5) |      | 989,409    | 8590 |

**Funds in Cleared Swaps Customer Segregated Accounts**

|     |                                                                                                          |  |              |      |
|-----|----------------------------------------------------------------------------------------------------------|--|--------------|------|
| 7.  | Deposited in cleared swaps customer segregated accounts at banks                                         |  |              |      |
|     | A. Cash                                                                                                  |  | \$ 6,682,168 | 8600 |
|     | B. Securities representing investments of cleared swaps customers' funds (at market)                     |  | 0            | 8610 |
|     | C. Securities held for particular cleared swaps customers in lieu of cash (at market)                    |  | 0            | 8620 |
| 8.  | Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts |  |              |      |
|     | A. Cash                                                                                                  |  | 1,474,473    | 8630 |
|     | B. Securities representing investments of cleared swaps customers' funds (at market)                     |  | 0            | 8640 |
|     | C. Securities held for particular cleared swaps customers in lieu of cash (at market)                    |  | 0            | 8650 |
|     |                                                                                                          |  | 0            | 8660 |
| 9.  | Net settlement from (to) derivatives clearing organizations                                              |  |              |      |
| 10. | Cleared swaps options                                                                                    |  |              |      |
|     | A. Value of open cleared swaps long option contracts                                                     |  | 292,990      | 8670 |
|     | B. Value of open cleared swaps short option contracts                                                    |  | 0            | 8680 |
| 11. | Net equities with other FCMs                                                                             |  |              |      |
|     | A. Net liquidating equity                                                                                |  | 0            | 8690 |
|     | B. Securities representing investments of cleared swaps customers' funds (at market)                     |  | 0            | 8700 |
|     | C. Securities held for particular cleared swaps customers in lieu of cash (at market)                    |  | 0            | 8710 |
|     |                                                                                                          |  | 0            | 8715 |
| 12. | Cleared swaps customer funds on hand (describe: )                                                        |  |              |      |
| 13. | Total amount in cleared swaps customer segregation (add lines 7 through 12)                              |  | 8,449,631    | 8720 |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                                  |  | \$ 7,460,222 | 8730 |
| 15. | Management target Amount for Excess funds in cleared swaps segregated accounts                           |  | \$ 98,941    | 8760 |
| 16. | Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess    |  | \$ 7,361,281 | 8770 |